

**COPY OF PAPERS  
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**Docket No. 5000-4963**

*AF*

**IN THE UNITED STATES PATENT AND TRADEMARK OFFICE**



Applicant(s) : Masakatsu MASAKI, et al. Group Art Unit : 1722  
Serial No. : 09/982,035 Examiner : TBA  
Filed : October 17, 2002  
For : WORKPIECE PROCESSING APPARATUS AND METHODS

**BOX MISSING PARTS**

Commissioner for Patents  
Washington, D.C. 20231

**RESPONSE TO NOTICE TO FILE MISSING PARTS OF APPLICATION**

Sir:

In response to the Notice to File Missing Parts of Nonprovisional Application Filed Under 37 C.F.R. § 1.53(b), Filing Date Granted mailed November 20, 2001, a copy of which is enclosed herewith, enclosed are the following:

1. A Declaration and Power of Attorney executed by the inventors, Masakatsu MASAKI, and Takeshi SAKAMAKI.
2. The required fee of \$130.00.

No further fees are believed to be due, however, the Commissioner is hereby authorized to credit any overpayments or deduct any deficiencies to Deposit Account No. 13-4500, Order No. 5000-4963. A duplicate of this document is enclosed.

Respectfully submitted,

Dated: January 3, 2002

*Steven F. Meyer*  
Steven F. Meyer  
Registration No. 35,613

CORRESPONDENCE ADDRESS:  
MORGAN & FINNEGAN L.L.P.  
345 Park Avenue  
New York, New York 10154  
(212) 758-4800  
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BOX: MISSING PARTS

Commissioner for Patents  
Washington, D.C. 20231

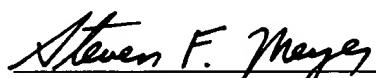
CERTIFICATE OF MAILING (37 C.F.R. 1.8a)

Sir:

I hereby certify that the attached: (1) Response to File Missing Parts of Application (in duplicate) (along with any paper(s) referred to as being attached or enclosed); (2) Notice to File Missing Parts - Copy to be Returned with Response; (3) Check in the amount of \$130.00 (4) Executed Declaration and Power of Attorney; (5) Request for Correction of Filing Receipt (6) Copy of the Filing Receipt with the change marked in red (7) Return postcard; with this Certificate of Mailing are being deposited with the United States Postal Service on date shown below with sufficient postage as first-class mail in an envelope addressed to: **Box Missing Parts, COMMISSIONER FOR PATENTS, Washington, D.C. 20231.**

Respectfully submitted,

Dated: January 3, 2002

  
\_\_\_\_\_  
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Registration No. 35,613

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## UNITED STATES PATENT AND TRADEMARK OFFICE

COMMISSIONER FOR PATENTS  
UNITED STATES PATENT AND TRADEMARK OFFICE  
WASHINGTON, D.C. 20231  
www.uspto.gov

APPLICATION NUMBER	FILING/RECEIPT DATE	FIRST NAMED APPLICANT	ATTORNEY DOCKET NUMBER
09/982,035	10/17/2001	Masakatsu Masaki	5000-4963

*JAN 23 2002  
PATENT & TRADEMARK OFFICE  
RECEIVED*

MORGAN & FINNEGAN, L.L.P.  
345 Park Avenue  
New York, NY 10154

CONFIRMATION NO. 7520  
FORMALITIES LETTER



\*OC000000007099623\*

Date Mailed: 11/20/2001

## NOTICE TO FILE MISSING PARTS OF NONPROVISIONAL APPLICATION

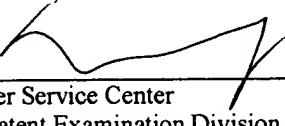
FILED UNDER 37 CFR 1.53(b)

*Filing Date Granted*

An application number and filing date have been accorded to this application. The item(s) indicated below, however, are missing. Applicant is given TWO MONTHS from the date of this Notice within which to file all required items and pay any fees required below to avoid abandonment. Extensions of time may be obtained by filing a petition accompanied by the extension fee under the provisions of 37 CFR 1.136(a).

- The oath or declaration is missing.  
*A properly signed oath or declaration in compliance with 37 CFR 1.63, identifying the application by the above Application Number and Filing Date, is required.*
- To avoid abandonment, a late filing fee or oath or declaration surcharge as set forth in 37 CFR 1.16(l) of \$130 for a non-small entity, must be submitted with the missing items identified in this letter.
- The balance due by applicant is \$ 130.

*A copy of this notice MUST be returned with the reply.*

  
Customer Service Center

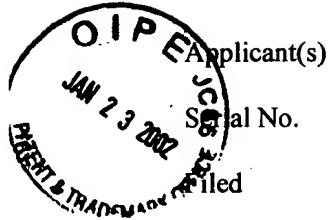
Initial Patent Examination Division (703) 308-1202

PART 2 - COPY TO BE RETURNED WITH RESPONSE

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**PATENT**  
**Docket No. 5000-4963**

**IN THE UNITED STATES PATENT AND TRADEMARK OFFICE**



Applicant(s) : Masakatsu MASAKI, et al. Group Art Unit : 1722  
Serial No. : 09/982,035 Examiner : TBA  
Filed : October 17, 2002  
For : WORKPIECE PROCESSING APPARATUS AND METHODS  
Attn.: Box Missing Parts  
Commissioner for Patents  
Washington, D.C. 20231

**REQUEST FOR CORRECTION OF FILING RECEIPT**

Sir:

A review of the Filing Receipt mailed November 20, 2001, and received in connection with the above-identified application uncovers an error. A copy of the filing receipt with this change marked in red is attached. Specifically:

1. In the "Foreign Applications" section, the Japanese foreign priority application is omitted, please insert:

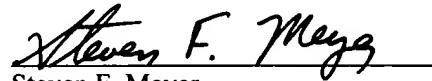
--2000-319762 JAPAN 10/19/2000--

No fees are believed to be due. Should any fees be required for the filing of this communication, the Commissioner is hereby authorized to charge Deposit Account No. 13-4500, Order No. 5000-4963.

It is respectfully requested that a Corrected Filing Receipt be issued.

Respectfully submitted,

Dated: January 3, 2002

  
\_\_\_\_\_  
Steven F. Meyer  
Registration No. 35,613

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JAN 23 2002  
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Page 1 of 2

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UNITED STATES PATENT AND TRADEMARK OFFICE  
WASHINGTON, D.C. 20231  
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APPLICATION NUMBER	FILING DATE	GRP ART UNIT	FIL FEE RECD	ATTY.DOCKET.NO	DRAWINGS	TOT CLAIMS	IND CLAIMS
09/982,035	10/17/2001	1722	1286	5000-4963	5	41	5

CONFIRMATION NO. 7520

FILING RECEIPT



\*OC000000007099622\*

MORGAN & FINNEGAN, L.L.P.  
345 Park Avenue  
New York, NY 10154

Date Mailed: 11/20/2001

Receipt is acknowledged of this nonprovisional Patent Application. It will be considered in its order and you will be notified as to the results of the examination. Be sure to provide the U.S. APPLICATION NUMBER, FILING DATE, NAME OF APPLICANT, and TITLE OF INVENTION when inquiring about this application. Fees transmitted by check or draft are subject to collection. Please verify the accuracy of the data presented on this receipt. If an error is noted on this Filing Receipt, please write to the Office of Initial Patent Examination's Customer Service Center. Please provide a copy of this Filing Receipt with the changes noted thereon. If you received a "Notice to File Missing Parts" for this application, please submit any corrections to this Filing Receipt with your reply to the Notice. When the USPTO processes the reply to the Notice, the USPTO will generate another Filing Receipt incorporating the requested corrections (if appropriate).

Applicant(s)

Masakatsu Masaki, Kariya-shi, JAPAN;  
Takeshi Sakamaki, Toyota-shi, JAPAN;

CASE 5000-4963 ATTY CA  
INFORMATION DISCLOSURE \_\_\_\_\_  
STATEMENT \_\_\_\_\_  
FOREIGN FILING \_\_\_\_\_  
CONVENTION DATE EXPIRES \_\_\_\_\_

Domestic Priority data as claimed by applicant

2000-319762 JAPAN 10/19/2000

If Required, Foreign Filing License Granted 11/20/2001

Projected Publication Date: To Be Determined - pending completion of Missing Parts

Non-Publication Request: No

Early Publication Request: No

Title

Workpiece processing apparatus and methods

Preliminary Class

164

SEARCHED  
INDEXED  
MAILED  
NOV 21 2001  
MORGAN & FINNEGAN LLP  
P: 412

LICENSE FOR FOREIGN FILING UNDER

Title 35, United States Code, Section 184

Title 37, Code of Federal Regulations, 5.11 & 5.15

GRANTED

The applicant has been granted a license under 35 U.S.C. 184, if the phrase "IF REQUIRED, FOREIGN FILING LICENSE GRANTED", followed by a date appears on this form. Such licenses are issued in all applications where the conditions for issuance of a license have been met, regardless of whether or not a license may be required as set forth in 37 CFR 5.15. The scope and limitations of this license are set forth in 37 CFR 5.15(a) unless an earlier license has been issued under 37 CFR 5.15(b). The license is subject to revocation upon written notification. The date indicated is the effective date of the license, unless an earlier license of similar scope has been granted under 37 CFR 5.13 or 5.14.

This license is to be retained by the licensee and may be used at any time on or after the effective date thereof unless it is revoked. This license is automatically transferred to any related applications(s) filed under 37 CFR 1.53(d). This license is not retroactive.

The grant of a license does not in any way lessen the responsibility of a licensee for the security of the subject matter as imposed by any Government contract or the provisions of existing laws relating to espionage and the national security or the export of technical data. Licensees should apprise themselves of current regulations especially with respect to certain countries, of other agencies, particularly the Office of Defense Trade Controls, Department of State (with respect to Arms, Munitions and Implements of War (22 CFR 121-128)); the Office of Export Administration, Department of Commerce (15 CFR 370.10 (j)); the Office of Foreign Assets Control, Department of Treasury (31 CFR Parts 500+) and the Department of Energy.

NOT GRANTED

No license under 35 U.S.C. 184 has been granted at this time, if the phrase "IF REQUIRED, FOREIGN FILING LICENSE GRANTED" DOES NOT appear on this form. Applicant may still petition for a license under 37 CFR 5.12, if a license is desired before the expiration of 6 months from the filing date of the application. If 6 months has lapsed from the filing date of this application and the licensee has not received any indication of a secrecy order under 35 U.S.C. 181, the licensee may foreign file the application pursuant to 37 CFR 5.15(b).